

Alliance School of Law



ALLIANCE UNIVERSITY

*Private University established in Karnataka State by Act No.34 of year 2010
Recognized by the University Grants Commission (UGC), New Delhi*

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Approved by the Bar Council of India (BCI), New Delhi

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REGULATIONS GOVERNING THE M.Phil. DEGREE, DOCTORAL DEGREE PROGRAMME (Ph.D.) AND GENERAL GUIDELINES

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Regulations Governing Doctoral Degree Programme (Ph.D.)

Preamble:

The existing regulations governing the Ph.D. programme in Alliance Law School, Bangalore need to be modified in view of the UGC Notification No. F. 1-1/2002(PS) Exempt dated 1st June, 2009 and also in letter No. F.I.I-2002(PS) Exempt dated 12th June, 2009 through which the UGC has issued: UGC (minimum standards and procedure for award of M. Phil / Ph. D Degree) Regulations, 2009". These regulations issued by the UGC are mandatory in nature and all the universities in India are required to comply with the same.

Therefore, the Alliance University has deemed it fit to formulate a comprehensive set of regulations governing the Ph.D. programme with an academic endeavor to emerge as a world class University. Further, the University on specific issues in context of past experience were considered and have been included within the broad framework of UGC regulations. Hence, the following regulations:

Title:

The regulations shall be called "Alliance School of Law governing the Doctoral Degree Programme (Ph.D.)".

Commencement

The regulations shall come into force from the date of the assent of the Chancellor.

Application

- a) These Regulations shall be applicable to the Ph.D. Programmes offered in the PG Department.
- b) Recognition of Research Centers
- c) The proposal for recognition as Research Centre for pursuing Degree Programme shall be made by the Post Graduate Department.

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d) There shall be an Expert Committee consisting of the Dean, Faculty of Law, the Chairman of Post Graduate Board of Studies and two subject expert nominated by the Vice-Chancellor to examine the suitability of the University as a research centre for pursuing Ph. D programmes by focusing on.

- I. Infrastructure of the Research Centre
- II. Library facility
- III. Computer / Internet facility
- IV. Research Output
- V. Any other aspect deemed fit by the Committee.

3.0 Admission Requirements

3.1 Eligibility

Candidates who have passed JRF/ NET/SLET/MPhil in Law or belongs to FIP/QIP programmes or the entrance test conducted by Alliance Law School shall be eligible to register for Ph.D., programme.

Foreign students with 55% of marks or equivalent grade in the recognized degree sponsored by their Embassies/ICCR and have passed GRE and TOFEL shall be eligible to register for Ph.D., Programme. Foreign students should appear for entrance test.

Such of the teachers employed on Full Time basis on or before 31.03.1992 and who are continuing in service in any of the affiliated colleges, constituent colleges and PG Departments of any recognized University shall be eligible to appear for the entrance test provided they have secured a minimum of 50% in aggregate.

Candidates who have passes JRF/NET are exempted from appearing for Alliance School of Law Ph.D. Entrance test, but they will have to appear for interview.

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4.0 Entrance Test

4.1 A candidate, who has obtained M.L / LL.M. from any recognized University approved by the UGC securing not less than 55% marks in the aggregate shall be eligible to appear for the entrance test. However, in the case of SC/ST and the Category-candidates the Minimum marks required shall be 50%. Foreign students should appear for entrance test and be eligible.

4.2 All eligible candidates shall appear for an Entrance Test consisting of two papers of 1 hour 15 minutes duration.

Paper -I shall be on "Research Methodology". It shall consist 50 multiple choice questions carrying 1 mark each, on data interpretation, language comprehension and test of reasoning.

Paper-II shall be on "Cognate /Core Law Subjects" of the P.G Programme in Alliance Law School. It shall consist of 50 multiple choice questions carrying 1 mark each.

Every candidate who has appeared for the entrance test shall secure a minimum of 50% in each paper and 55% marks in aggregate in the two papers (45% in each paper and 50% of marks in aggregate in two papers in case of SC/ST/ Cat-Candidates) to be eligible for registration.

4.3 The Merit List of the eligible candidates shall be prepared on the basis of the Weighted Average Score (W.A.S.) to be computed by assigning 50% weightage to the score at the entrance test and 50% weightage to the aggregate score at the Master's Degree.

Provided W.A.S. shall not be less than 50% (45% in case of SC/ST/Cat-I Candidates).

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4.4 Each paper shall be of 75 minutes duration. Question paper for Paper - I (in two sets) shall be prepared by an independent Board consisting of two experts, who are internal drawn from different faculties appointed by the Vice-Chancellor. Question paper for Paper II (in two sets) shall be prepared by an independent Board consisting of two experts, who are internal appointed by the Vice-Chancellor from the panel of P.G. examiners.

The Chairperson of the BOS shall be the Chairperson of the paper setting board.

The Chair person shall choose randomly one of the two papers set for Paper- I and Paper-II.

After evaluation of the scripts of Paper-I and Paper-II, the Chair person of Doctoral Committee prepare the merit list of qualified candidates.

5.0 Doctoral Committee

5.1 (a) The composition of the Doctoral Committee shall be as follows:

- i) Dean of the Faculty - Chairperson
- ii) Head of Post Graduate Programme - Member Secretary
- iii) expert nominated by the Vice-Chancellor from within University - Members
- iv) Research Supervisors - Members

(b) The Member Secretary shall convene the meeting in consultation with the Chairperson of the Doctoral Committee.

(c) The quorum for the Doctoral Committee shall not be less than 2/3 of the total composition of the Doctoral Committee for the respective meeting.

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5.2 Functions of the Doctoral Committee.

- (a) To finalize the list of the eligible candidates and allocate the candidates to the Research Supervisors as per availability of seats.
- (b) To permit the change of Research Supervisors.
- (c) To recognize the Research Supervisors and Co- Research Supervisors.
- (d) To permit change in the title of the thesis as recommended by the Research Supervisors.
- (e) To conduct the pre-registration colloquium.
- (f) To consider the half yearly progress report of registered candidates forwarded by the Research Supervisors through proper channel.
- (g) To conduct the pre-submission colloquium and accord permission for the submission of the Ph.D. thesis.
- (h) To carry out such other duties as the University may entrust the Committee from time to time in connection with the Ph.D. Programme.

6.0 Inviting Applications

6.1 The University shall issue a circular, once in a year preferably in the month of July/August to all the Research Centers directing them to submit the list of available seats under each Research Supervisor.

6.1.1 After receiving the details of the available seats, the University shall issue a notification in leading Newspapers/University Website inviting application for Ph.D. programme once in a year preferably in the month of September.

The notification shall contain information about:

- (i) The Date and venue of the Entrance Test.

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(ii) The date of announcement of Entrance Test results in the University Website.

(iii) The dates of the interview and announcement of the selection list separately for candidates who are exempted from taking the Entrance Test and for those taking the Entrance Test.

Further, the notification shall clearly mention that the candidates who are exempted from Ph.D. Entrance Test will be considered first and if there are seats remaining after admitting the exempted candidates, only then the University shall conduct the Entrance Test.

The University shall notify at a later date the Entrance Test Schedule in leading newspapers/University Website.

6.1.2 The eligible candidates exempted from taking the Entrance Test shall submit duly completed application forms along with Bank Challan or Demand Draft towards payment of prescribed fees to the respective Heads of the Research Centre's on or before the date stipulated.

6.1.3 The eligible candidates not exempted from taking the Entrance Test, after the issuance of the second notification by the University, shall submit duly completed application forms along with Bank challan or Demand Draft towards payment of prescribed fees for Entrance Test to the respective Heads of the Research Centre's on or before the date stipulated.

6.1.4 The application fee and entrance test fee shall be as prescribed by the University from time to time.

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7.0 Selection of Candidates

- 7.1 The list of qualified candidates who were exempted from taking the entrance test shall be displayed on the Notice Board of the Research Centre.
- 7.2 In each Research Centre, details of research areas of various staff members will be put up on the Notice Board.
- 7.3 The Doctoral Committee shall hold interview of qualified candidates. The candidates shall submit their areas of interest in the order of their choice prior to the interview. Attending the interview is mandatory. Eligibility to appear for the interview shall not be construed as confirmation of registration for Ph.D.
- 7.4 After the interview, the Doctoral Committee shall allocate candidates to Research Supervisors paying due attention to the reservation policy of the State Government, the number of students per Research Supervisor, their specialization and the research interest of the candidate as indicated during the interview.

However, candidates may be allocated to Research Supervisors who may be working in areas not prioritized by the candidate, depending on the availability of seats under Research Supervisors.
- 7.5 Selection of candidates qualifying at the entrance test:

If there are vacancies after selecting the candidates exempted from the entrance test, then the Doctoral Committee shall allocate the candidates to the research guides as per the above procedure.
- 7.6 The Doctoral Committee shall prepare the waiting list for the purpose of enabling the candidates to take admissions as and when vacancies arise in the academic year.
- 7.7 The list of approved candidates and the names of the Research Supervisors shall be submitted to the Registrar: While submitting

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this list, it has to be ensured that the tentative research topics are finalized by Research Supervisors and candidates.

- 7.8 Even when a Research Supervisor is temporarily away on an assignment or on leave, the Doctoral Committee shall allocate students.

8.0 Provisional Registration:

8.1 The Registrar shall issue Provisional Registration letter to the selected after receipt of the prescribed fees.

8.2 The registration shall come into effect from the date of the meeting of the Doctoral Committee.

8.3 The registered candidate shall pay Course work fee, tuition and other fees for the subsequent terms till the submission of the Ph.D. thesis as stipulated by the University from time to time.

9.0 Ph.D. Course Work:

9.1 Registered Ph.D., Candidates shall undertake course work of one semester (16 weeks), normally in the Research Centre within one year from the date of provisional registration, failing which his/her registration shall be cancelled.

9.2 The course work for Ph.D. programme shall comprise of three papers of 100 marks each, viz., Course-I: Research Methodology, Course-II: Core Law Subjects and Course-III Area of Research. This shall be followed by comprehensive Viva-Voce for 50 marks. However, it shall be noted here that Courses-I and II are common for all the candidates.

9.3 Each Course shall have 48 contact hours. Classes for Courses-I and II shall be arranged by the Head of the recognized research centers, and that of Course-III by the concerned Research Supervisor. Both Full-time and Part-time candidates shall attend at least 75% of the classes in each paper to be eligible to appear for the examination. The provisional registration of the

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candidate who fails to keep 75% of attendance shall stands cancelled.

9.4 The Syllabus of Paper:-I & I I shall be framed by the Doctoral Committee. The Syllabus of Paper- III shall be framed by the concerned Supervisors.

9.5 The following shall be the Structure of Course-Work for Ph.D. Programme.

Sl No.	Name of the Course	Contact Hours per week	Maximum Marks			Examination Hours	
			Continuou s Assessment (IA)	Course End-Examination	Tota l		
01	Course-I: Research Methodology	03	50	50	100	2	E & E
02	Course-II: Core Law Subjects: (Constitutional Law, Judicial & Legislative process-Law making, Jurisprudence)	03	50	50	100	2	E & E
03	Course-III: Area of Research: (Environmental Law, Contracts, Company Law, Human Rights etc.)	03	50	50	100	2	S e m i n a r
Total			150	150	300		
Viva Voce					50		
Grand Total					350		

The course work examination shall be conducted by the Registrar-Examinations & Evaluation.

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9.6 Continuous Internal Assessment (IA) Marks of the course work shall be awarded based on (a) Assignments- 10 marks, (b) Seminar- 10 marks, and (c) Tests- 30 marks.

Paper	Internal Assessment Components (Marks)				
	Test – I (15)	Test – II (15)	Seminar (10)	Assignment (10)	Total
1. Course – I : Research Methodology	5 th Week	9 th Week	12 th Week	14 th Week	50
2. Course – II : Core Law Subject	5 th Week	9 th Week	12 th Week	14 th Week	50
3. Course – III : Area of Research	5 th Week	9 th Week	12 th Week	14 th Week	50

9.7 The question paper (in two sets) of Paper-I & II of the Semester-end examinations shall be set by the BOE consisting of two senior faculty members of the Research Centre . The concerned Guide shall set the question paper/s (in two sets) in respect of Paper- III. The manuscripts of the question papers shall be submitted to the Head of the Research Centre who in turn shall submit to Registrar - E&E, Out of the two sets of question papers of each Paper, one shall be chosen randomly by the Registrar- E&E

9.8 The Semester-end examination shall be conducted in the 17th week and evaluation completed and results announced by the 18th week.

9.9 The Papers I and II shall be subjected to double valuation, two internals.

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10.0 Examination and Evaluation of Answer Scripts:

10.1 The Head of the Research Centre shall conduct the examination. With the aid of Registrar – E&E.

10.2 Each answer script of the Course-end Examination shall be coded and assessed.

10.3 If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third examiner appointed by the Vice- Chancellor from the panel of examiners submitted by the Chairperson of the BOE. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.

11.0 Minimum Pass Marks and Improvement Examination:

11.1 The Registrar - E &E shall prepare the Result Sheet and then declare the results and issue marks cards.

11.2 Minimum for passing in each written paper shall be 40% in the course-end examination and 50% in aggregate including the continuous internal assessment marks. However, there shall be no minimum for Viva-Voce as well as IA marks. Every candidate shall compulsorily attend the Viva-Voce examination conducted by respective Research Supervisor and concerned Chairperson of the Department.

11.3 Failed candidates shall be allowed to reappear for the Ph.D. course work examination only once within three months of the first examination by paying the prescribed fee. In case of failure of the candidate even after the re-examination, his/her Provisional Registration shall be cancelled. There is no provision for improvement of IA marks.

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12.0 Confirmation of Registration:

- 12.1 After the completion of course work, Ph.D. candidates are required to submit their research proposals on the chosen topic to the Doctoral Committee in the prescribed format, but not later than six months from the date of provisional registration.
- 12.2 The University shall arrange the meeting of the Doctoral Committee and place the research proposals.
- 12.3 The candidate shall prepare the Research Proposal/Outline defining clearly the objective, methodology, literature survey, work plan and relevance of the proposed research and shall present a pre-registration colloquium before the Doctoral Committee.
- 12.4 The Doctoral Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend for the approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Research Supervisor.
- I 2.5 On receipt of the research proposals approved by the Doctoral Committee, the Chairperson of the Department shall forward them to the University for Confirmation of Registration.
- 12.6 The University shall confirm the registration within fifteen days from the date of receipt of the letter from the Department which is in the form of permission to start working on the thesis.
- I 2.7 If minor modifications (like adding new words or deleting words from the original research topic or changes in the placement of words in the topic) are found to be essential after the issue of Registration Certificate, such changes shall

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be approved by the Doctoral Committee and intimated to the University through the Research Supervisor and the Chairperson of the Department only at the time of submission of the synopsis of Ph.D. thesis.

12.8 No candidate shall be permitted to register for Ph.D. degree on a problem which has been already offered for a research degree in this or any other University.

12.9 No P h . D . candidate shall be permitted to join any degree course, except Part-time courses like Diploma, Certificate Courses, etc., during the period of the Ph.D. Programme.

12.10 No Full-time research candidate, whether he/she receives scholarship or not, shall accept any assignment other than teaching in the Research Centre till he/she submits Ph.D. thesis. There shall be no remuneration for such teaching assignment.

13.0 Place of Course Work and Research Work:

13.1 All the registered candidates shall complete the course work and Research Work in the Research Centre

13.2 Candidates shall work for at least 6 months working days per year in the Research Centre under the Research Supervisor (180 days for the Whole research period or keep in constant touch with the guide through electronic media). Such candidates shall give an undertaking to this effect at the time of submitting the application for registration. The concerned Research Centre shall maintain an attendance register for these candidates.

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14.0 Validity Period of Registration:

14.1 Every Ph.D. candidate shall work for a minimum period of three years (two years in case of candidates with M.Phil. degree from the date of provisional registration).

14.2 The Ph.D. candidate shall complete the research work and submit the thesis to the for evaluation within a maximum period of three years from the date of provisional. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Research Supervisor and Doctoral Committee for justifiable reasons for a period of time not exceeding one year after the candidate applies for extension before the expiry of the period and pays the prescribed fee.

b. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the extension period to the Head of the Research Centre through the Research Supervisor, and the Head of the Research Centre shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the Head of the Research Centre for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.

c. The Ph.D. candidate shall keep in constant touch with the guide through electronic media to update the guide regarding the progress of his work.

14.3 The candidates working under the guidance of recognized Supervisors shall submit his/her progress through email etc. to

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indicate the punctuality of his/her work and the report submitted by the candidate shall be submitted to the University through Research Supervisor /Co-Supervisor with due counter signature by the Head of the Research Centre. All correspondence by these candidates with the University shall be made only through the Research Supervisor and the Head of the Research Centre.

14.4 If the research candidate remains absent due to unexpected events like, accident, prolonged ill health etc., during period of research work, such absence shall intimated be within a week by the research candidate through the Research Supervisor who in turn should intimate to the University through the Chairperson.

15.0 Provision for change of Registration from Full-time to Part-time Ph.D. Programme and vice-versa:

A candidate admitted to Ph.D. Programme may be permitted to change to a Part-time Ph.D. Programme, by the Doctoral Committee, on request, provided the candidate produces (i) "No Objection Certificate" from the Research Supervisor and Head of the Research Centre in which he/she has reported. For determining the duration of the programme, the period of study spent as a Full-time candidate shall be deducted from the maximum duration of time permissible for part-time candidate.

Similarly, a candidate admitted to a Part-time Programme may be allowed to change his/her registration to full-time programme on the recommendation of the Research Supervisor, the Head of the Research Centre and Doctoral committee. However, for

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determining the duration of the Programme, one-half of the periods of study spent as Part-time shall be counted.

16.0 Progress Reports:

16.1 After the confirmation of the registration, every candidate shall submit half-yearly progress report regularly till the submission of the thesis through the Research Supervisor to the Head of the Research Centre and who shall place it before the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc.

16.2 Besides, the candidate shall make a presentation once in a year before the Doctoral Committee about the progress made by him/her during the last one year and also the work plan for the next one year. Such report shall be forwarded to the University.

16.3 If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two term, the Doctoral Committee shall recommend to the University for cancellation of his/her registration in consultation with the concerned Research Supervisor. However, in all such cases, the candidate must be heard.

17.0 Procedures for Recognition of Research Supervisor/Guide:

17.1 All Professors, Readers/Associate Professors in the Alliance School of Law and Research Centre shall be eligible to supervise Doctoral candidates. However, they have to apply to the University through

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the Chairperson of the Department for the purpose of getting formal recognition.

17.2 Assistant Professors/Lecturers in the Alliance School of Law and Research Centre who have Doctoral Degree in the relevant/cognate subject and have at least three years of independent research experience after the Ph.D. degree as evidenced by five publications in referred/reputed journals, shall be eligible to be recognized as Research Supervisors in their subjects provided they have at least five years of teaching or research experience on regular basis.

17.3 The University shall recognize a college/institution affiliated to another University as its Research Centre.

17.4 In all the above cases, the Doctoral Committee shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.

17.5 In the case of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research supervisor and another is Co-Supervisor. However, either the Research Supervisor or Co-Supervisor shall be from the University or the Research Centre.

17.6 A Research Supervisor shall not opt to become Co-Supervisor for more than five candidates.

17.7 Research Supervisors shall be allotted/allocated research candidates, provided they have not less than two years of service prior to the date of their superannuation.

17.8 No Research Supervisor shall accept the assignment as Research Supervisor in any other University without the permission of Alliance School of Law.

18.0 Intake:

18.1 Each Research Supervisor shall supervise not more than eight candidates at a time, out of which one seat each shall be reserved for (a) SC (b) ST I Category-and (C) OBC candidates.

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18.2 In the case of Research Supervisors who have candidates registered under them in other Universities, the Doctoral Committee shall take the number of candidates working under them in other Universities while allocating candidates to them. The outer limit of eight candidates may be retained by the Doctoral Committee in its discretion.

18.3 The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). however, they shall not be transferred to general pool.

18.4 Whenever a Supervisor leaves the University temporarily for a period of more than one year, the Supervisor shall continue to provide guidance through any suitable mode of communication. During such period of absence of the guide, all correspondence with the University shall be made through the Head of the Research Centre. All such arrangements shall be made with the approval of the Registrar.

18.5 If a teacher, who is a recognized Supervisor, is appointed or comes on transfer or for any other reason from another University or Institution to Alliance School of Law, he/she shall declare to the concerned Doctoral Committee the number of candidates already registered under him/her in the earlier place of work.

18.6 No Research Supervisor shall be allotted/allocated his/her relative as a candidate for the Ph.D. Programme.

19.0 Provision for Co-Supervisor:

A candidate may be allotted/allocated more than one Supervisor depending on the topic of research.

19.1 In the case of inter-disciplinary topic, a candidate may have two Supervisors of whom one is a Research Supervisor and another is a Co-Supervisor. The Research Supervisor shall be from the Research

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Centre, whereas the Co-Supervisor may be from the same or from another Research Center.

20.0 Change of Research Supervisor:

- 20.1 Generally, change of Research Supervisor shall not be permitted.
- 20.2 Under extraordinary circumstances, such as death or discontinuation of service or disability on health grounds of the Supervisor or transfer of the Supervisor, the change of Research Supervisor shall be permitted by the University, notwithstanding the maximum number of eight candidates, at the request of the candidate and on the recommendation of the Head of the Research Centre and approval of the Doctoral Committee.
- 20.3 A change of Supervisor may be allowed with the consent of the candidate and both the Supervisor (current and proposed) and the approval from the Doctoral Committee and the University. Further, in case where such a change necessitates change of topic, the same shall be approved by the Doctoral Committee.
- 20.4 In case of any conflict between the Research Supervisor and the Candidate, (he Head of the Research Centre, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report *I* recommendation to the Vice-Chancellor. The decision of the Vice-Chancellor shall be final in this regard.

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21.0 Submission of Thesis:

21.1 Every candidate shall present a pre-submission colloquium to the Doctoral Committee before the submission of synopsis.

21.2 Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with twenty copies of synopsis of the thesis through the Research Supervisor to the Head of the Research Centre for permission to submit the thesis. The Head of the Research Centre shall arrange to place the candidate's application and synopsis before the Doctoral Committee provided that the candidate has published two research papers in the referred *I* reputed journals (including the papers accepted for publication). He *I* She is required to produce reprint *I* galley proof *I* proof of acceptance of research paper to the Doctoral Committee during his *I* her pre-submission colloquium.

The candidate shall enclose the following along with Synopsis:

- Confirmed registration certificate.
- Course-work marks sheet.
- Certificate from the Head of the Research Centre that the pre-registration colloquium has been completed satisfactorily.

21.3 Considering the work of the candidate, and his *I* her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.

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21.4 Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis both hard and soft to the Registrar (Evaluation) through the Research Supervisor and the Head of the Research Centre concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Head of the Research Centre concerned, University Librarian and Hostel Warden.

21.5 In the event, the candidate fails to submit the thesis within the stipulated period, in exceptional cases, where valid reasons exist, candidate's request for extension may be considered in the discretion of the Doctoral Committee and an extension of a term of six months may be granted. The copies of the thesis shall be bound in accordance with the following specifications:

- (a) Size of the Paper: Size of the paper shall be A4 except for drawings, graphs, labels and maps. A margin of one and half inches shall be maintained on the left hand side of the paper.

The thesis shall be work processed using 1.5 line spacing and font size 12 in one side of the paper.

21.6 Every candidate shall include in the thesis a certificate from the Supervisor to the effect that the thesis submitted is a record of research work done by the candidate during the period of study, and that it has not previously formed the basis for the award of any Degree *I* Diploma *I* Associateship *I* Fellowship or other similar titles by this University or any other University.

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22.0 Adjudication of the Ph.D. Thesis:

22.1 The Ph.D. thesis shall be adjudicated by a Board of Examiners consisting of the concerned Research Supervisor and two external members to be chosen by the Vice Chancellor.

22.2 Submission of the Panel of experts:

The Chairperson of the concerned P.G. Board of Studies shall prepare the panel of examiners in consultation with the concerned Research Supervisor and submit to the University for the approval of the BOS.

The panel shall include five experts consisting of not more than five experts from the State / Central Universities / Premier Institutions within the State or Other Universities within the Country.

In all cases, it is desirable to provide along with the expert's Name his/her Designation, complete official Postal Address, e-mail ID and cell number wherever possible.

22.3 In case, the panel of experts is exhausted the chairperson of the concerned Board of Studies shall submit a fresh panel of experts.

22.4 While preparing the panel of experts, the concerned Supervisor shall include the names of only those experts who are in the cadre of Reader/Associate Professor, Professor.

22.5 Examiners appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation) within 45 days from the date of dispatch of the thesis. Such report shall include:

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- i) a critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- ii) details of questions to be asked or points to be clarified at the viva-voce examination separately ; and
- iii) a definite recommendation as to whether the Ph.D. Degree should be awarded or not in the proforma supplied by the University.

22.6 If the report is not received by the University from the external examiner within a maximum period of 45 days from the date of dispatch of thesis, a reminder shall be sent.

However, if the report is not received by the University from the external examiner within a maximum period of 45 days from the date of reminder, the thesis may be referred to the next examiner from the same panel, with due e-mail intimation to the former examiner that he need not evaluate the thesis.

22.7 On both the external examiners giving definite recommendation for the award of the degree, the candidate shall be eligible to appear for the open viva-voce examination which is mandatory. If one of the examiners gives a definite recommendation against the award of the degree, the thesis shall be referred to third external examiner. If the report of such examiner is favorable, then the candidate shall be eligible to appear for the viva-voce examination, otherwise the thesis shall be rejected.

On both the examiners giving definite recommendation degree, the thesis shall be rejected.

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22.8 If one of the examiners makes a recommendation for resubmission of the thesis, after incorporating the suggestions made by him, the Registrar shall accordingly communicate the same to the candidate and the Research Supervisor. Further, the thesis shall be resubmitted after carrying out the modifications, within six months from the date of such communication to the candidate.

22.9 If an examiner has recommended for minor changes or alteration or modifications in the thesis such changes shall be incorporated and certified by the Research Supervisor and the Head of the Research Centre, without referring back the thesis to the examiner.

22.10 The candidate and the Research Supervisor shall be informed about the queries raised by the examiners soon after receiving the same from the examiners.

22.11 No candidate shall, however, be permitted to re-submit the thesis more than once.

22.12 The Library copy in standard hardbound form and a soft copy in pdf format shall be submitted after the conduct of the Viva-Voce examination.

22.13 If the Research Supervisor expires after the submission of thesis but before evaluation, the thesis shall be evaluated by another Indian examiner in the panel.

23.0 Viva-Voce Examination:

23.1 An open Viva-Voce Examination shall be conducted on reports on the thesis from all the examiners. The Viva-Voce concerned Research Centre by the Board constituted as

- i) The Research Supervisor as Chairperson.
- ii) At least one of the external examiners, as member.
- iii) However, under extraordinary circumstances where examiners can be present at the Viva-Voce

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Chancellor may appoint an external substitute approved panel.

- iv) The concerned Head of the Research Centre as a
- v) Chairperson of the BOS as a member
- vi) Co-Supervisor, if any.

23.2 In case where Research Supervisor cannot attend the Viva-Voce examination or expires before the Viva-Voce examination then the Co-Supervisor or external adjudicator shall be made the Chairperson, with prior approval of the Vice-Chancellor.

23.3 The Chairperson of the Viva-Voce board shall fix the date of Viva-Voce in consultation with the Head of the Research Centre.

23.4 The Viva-Voce examination should primarily be designed to test the understanding of the candidate on the subject matter of the thesis including techniques/methodology employed and the competence in the general field of study. Any of the points raised by the examiners should be clarified during the Viva-Voce examination, and the report of the examiners shall be made available to the Board of the Viva-Voce examination. At the Viva-Voce examination, the candidate shall produce all the relevant documents, materials, publications etc., connected with the research.

23.5 A copy of the thesis shall be kept in the library of the Research Centre for reference of students who want to participate in the open viva-voce.

23.6 In the case of candidates who are abroad and unable to be present physically, Viva-Voce examination may be held through video-conference mode as described above

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(with open viva) after the candidate pays the additional fees prescribed by the University from time to time.

23.7 In case of poor performance of the candidate during the viva-voce, one more viva-voce may be held within three months. In such cases TA/DA/other incidental expenses in holding the viva-voce shall be borne by the candidate.

24.0 Award of Ph.D. Degree:

24.1 After the candidate successfully completes the Viva-voce examination, the Chairperson of the Viva-voce board shall consolidate the recommendations for the award of Ph.D. Degree based on the following:

- (a) The reports of the examiners who adjudicated the thesis and
- (b) The evaluation of the candidate's performance at the viva-voce examination.

The Chairperson of the viva-voce board shall submit the consolidated report to the Registrar of the University, and candidate shall be awarded the Ph.D. Degree with the approval of the Vice-Chancellor.

24.2 A candidate who expires before undergoing the Ph.D. Viva-Voce test and in whose case the evaluation reports from all the referees are favorable, shall be awarded the Ph.D. degree posthumously.

25.0 Depository with UGC:

Following the successful completion of the evaluation process and announcement of the award of Ph.D. degree, the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for posting the same in INFLIBNET, accessible to all Institution/Universities.

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26.0 Cancellation of Registration / Withdrawal of the Degree:

If any plagiarism or academic misrepresentation is brought to the notice of the University, before or after the award of the Ph.D. Degree, the University shall cancel the Ph.D. Registration or withdraw the Ph.D. Degree after due investigation by the University.

27.0 Publication of the Thesis:

The thesis may be published, within two years of the award of the Ph.D. Degree with permission from the University considering the adjudicators' recommendations. Two copies of the published work shall be submitted to the University Library by the candidate for the purpose of record.

After the award of the Ph.D. Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Research Supervisor for any copyright, patent or recognition to the thesis.

28.0 Grievance Redressal:

In case of conflict arising between a candidate and the Research Supervisor, the Grievance cell constituted by the University may resolve the issue and recommend for initiating necessary action by the University. The aggrieved parties shall abide by the decision taken by the Vice -Chancellor.

29.0 Repeal and Savings:

29.1 Specific guidelines/clarifications as may be issued by the University from time to time shall be mandatory for smooth implementation and uniformity.

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29.2 Notwithstanding anything contained in these Ordinances, the Provisions of any Guidelines, Order, Rules or Regulations, in force shall be inapplicable to the extent of their inconsistency with these Ordinances.

29.3 The University shall issue such orders, instructions, etc., and prescribe such format, procedure, etc, as it may deem fit to implement the provisions of these, Ordinances.

29.4 The modifications made by the UGC/NCHER from time to time in its Ph.D. Regulations shall also be applicable to these Ph.D. Ordinances.

29.5 Any action, decision taken or directed by the University under any statute in force any time earlier than this statute coming into force shall be valid and remain in fume notwithstanding anything contained in this ordinance.

29.6 On and after the coming into force of these Ordinances, the R egulations in force governing Doctoral Degree Programme (2010-11) shall stand repealed.

29.7 In exceptional cases either not covered in the above Ordinances or arising out of the interpretation of the Ordinance, the decision of the Vice-Chancellor shall be final.

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PRE – Ph.D. EXAMINATION-PAPER I – SYLLABUS

RESEARCH METHODOLOGY

Unit 1. Introduction of Research : General:

- Definition, need, purpose
- Research objectives
- Research approaches
- Significance of research & importance of knowing how research is done
- Criteria of good research
- Types of Research : Pure, Applied and Action Research
- Kinds of Research: Diagnostic, Descriptive, Exploratory, Explanatory
- Scientific methods, components of scientific methods
- Research process
- Problem encountered by researches in India;

Unit 2. Literature survey; Proposal writing:

- Types of Literature search – use of library, books & journals – Medlines, internet, getting patents and article reprints as a source of literature survey
- Review of Literature – Formulation of Hypothesis
- Identification and selection of research problems, preparation of research proposal, synopsis.

Unit 3. Research Design; Study design:

- Need for research design, Important concepts relating to design, Features of good design
- Research designs
- Basic principles of experimental design – Pre-experimental, CRD and Quasi-Experimental designs
- Types of research design: Historical design, Descriptive design, case control, cohort, cross sectional, longitudinal, emphasis to be given on empirical research.

Unit 4. Data Collection Techniques and Interpretation:

- Collection of Data : Primary Data – Meaning, Secondary data – Meaning – Relevance's, limitations and cautions.

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- Data Collection methods : Interview, Observation; Questionnaire
- Developing tools – Validity (internal & external), Reliability of the tools.
- Meaning of Interpretations; Techniques of Interpretation, Precautions in Interpretations, Data Processing; Coding, tabulations, classifications.

Unit 5. Research Reporting

Scientific Writing:

- Definition and kinds of scientific documents – research paper, review paper, book of reviews, thesis, conference and project reports (for the scientific community and for funding agencies)
- Publication – role of author, guide, co-authors
- Components of a research paper – the IMRAD system, title, authors and addresses, abstract, acknowledgements, references, tables and illustrations
- Structure, style and contents; Style manuals (Chicago, Harvard, Vancouver, APA, MLA); Citation styles : Footnotes, references; Evaluation of research
- Dealing with publishers – submission of manuscript, ordering reprints
- Current trends in LIS research (Advanced countries, Less-Advanced countries and Global)
- Statistical methods.

Report writing:

- Significance of Report writing; Different steps in Report writing; Mechanics and precautions of writing research reports; Layout of the Research project; Types of reports and Oral presentation.
- Oral and poster presentation of research papers in conferences/symposia; Preparation of abstracts.
- Preparation and submission of research project proposals to funding agencies
- Structure of Thesis and Content – Preparing Abstracts.

Collaborators & Funding:

- Classification of Institutes
- Collaborations and collaborators

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- Funding for research
- Computers in research

Recommended Books:

1. How to Write and Publish a Scientific Paper:; Robert A. Day, Barbara Gastel; 6th edition; Cambridge : Cambridge University; 2006
2. Research Methodology Methods and Techniques; C R Kothari; 2nd edition; New Age International; 1990 (published in 2009)
3. Research Methodology Methods and Statistical Techniques; Santhosh Gupta; New Delhi: Deep & Deep Publications ; 2000.

CORE PAPER II:

1. Customs, Precedents, Legislation, Rawls Theory, Roscoe Pond,
2. Comparative Constitutional Law ,U.S.A. ,U.K. ,and India
3. Critical Legal Studies
4. Reading of part III to part IV of the Constitution,
5. Right to Education, Emerging Rights like, Sustainable Development, Heritage Rights etc. Theories of Constitutional Interpretation.73rd and 74th Amendments.
6. Green Tribunal, Expanding horizon of Personal Liberty.
